

Exari For Financial Services

Automate data extraction and manage Trading Agreements

The banking industry spends approximately \$270 billion a year on compliance-related costs alone¹. Between constant regulatory changes and ever increasing client demands, staying in compliance without full visibility can be a massive and expensive challenge. Of course, the fact that being in breach can result in owing millions of dollars in fines and other tangible and intangible related costs only exacerbates the problem. Firms need a better way to efficiently and accurately monitor, analyze and report on their complex trading agreements.

To dramatically reduce your risk, you need a solution proven capable of taming the unique issues found in complex Financial Services contracts and collateral. Exari's Financial Services solution automates the data extraction, data modeling and ongoing management for any agreement (Trading, Investment, Fund, Vendor, etc.). Through our established contract lifecycle management (CLM) platform and industry specific data models, Exari's solution empowers Asset Managers, Investment Managers, Fund Managers, Hedge Funds and Banks to manage obligations, information and risk in real-time.

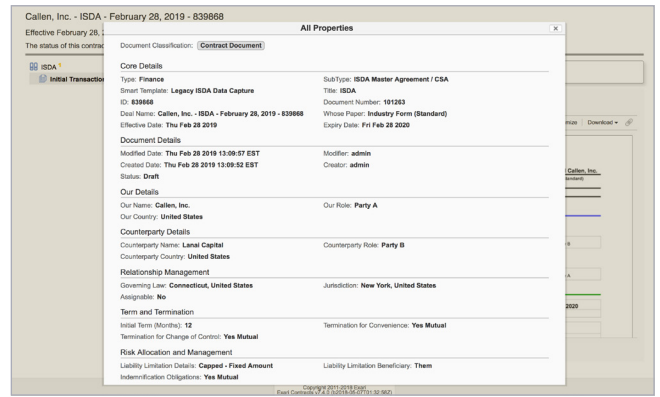
Exari's Financial Services solution provides you with immediate insight into even the most complex trading and investment agreements. You'll have the ability to model any agreement structure, from a simple bilateral agreement to a complex multi-lateral agreement, or an Agent to multiple underlying Principals agreement. With Exari, all of your contract data lives in a single, secure repository that offers instant access to key terms, obligations and risk across your entire contract portfolio. This allows you to tightly monitor contract milestones and risk markers, easily locate and search for specific terms and study trends across all of your contracts with a comprehensive suite of Financial Services reports. In a crisis, this will eliminate the need to read through hundreds or thousands of legal agreements to determine your best course of action. Instead, you can rely on deep analytical, legal and business intelligence processes to respond to any event more rapidly and with more certainty.

Additionally, with Exari's leading document generation engine, firms can achieve an unprecedented level of speed and control in creating and negotiating contracts and complex documents. This browser-based contract creation system uses a dynamic interview and configurable approval workflows to streamline the drafting process and ensure that every agreement conforms to your in-house, industry and regulatory standards. The result is lower risk and the ability to onboard clients, funds and counterparties faster.

Our flexible, next-generation platform is enabling global firms to more efficiently and accurately manage the entire lifecycle of every single agreement, no matter how complex. By automating the creation of agreements, simplifying the management of obligations and providing full visibility into key data via comprehensive reporting and an intelligent repository, firms can finally reap the most reward with the least amount of risk.

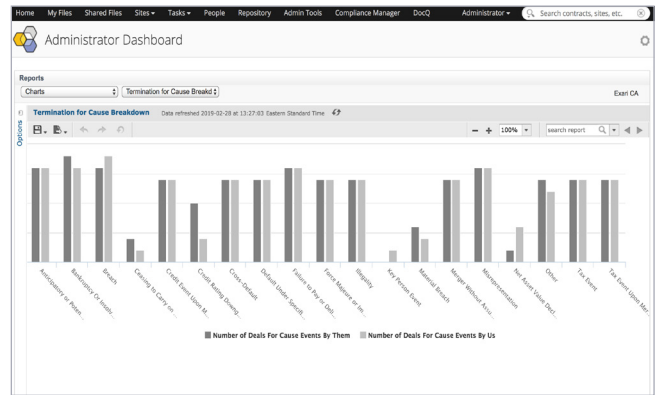
AI-driven Data Extraction

- Capture data automatically from all documents including legacy and 3rd party agreements
- Easily manage contract terms by turning words into actionable data
- Most comprehensive search, comparison, and capture tools for full visibility into any contract
- Configure what data is captured to ensure access to terms most vital to you



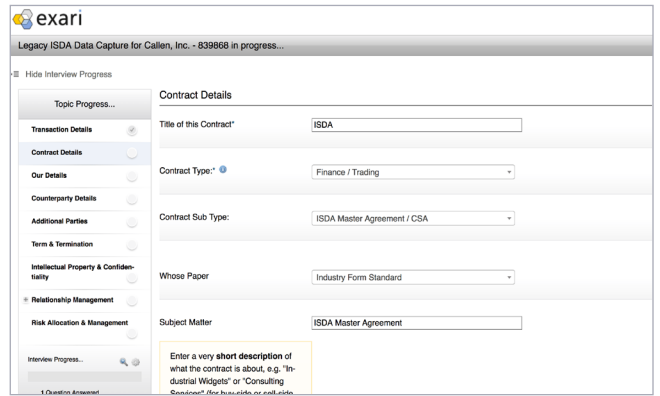
Deep Contractual Knowledge

- Transactions, Orders, or Amendments that may affect the Master Agreement are automatically “rolled-up” for tracking and reporting on prevailing language
- Immediate insight into your prevailing terms, rights and obligations during a market or trading event or crisis
- Instant insight into key information for making critical business decisions



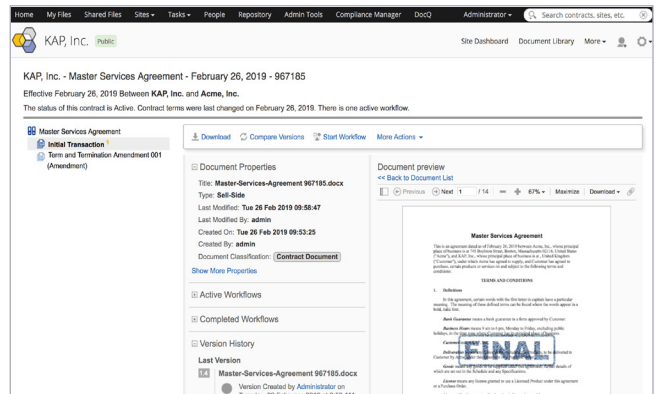
Dynamic Interview for Document Generation

- Intuitive UI to guide users through a dynamic interview process to pre-populate correct contract templates
- Ensure compliance and manage changes quickly whether its novation or repapering agreements
- Automate the process for onboarding clients / funds or counterparties
- Trade and book revenue faster



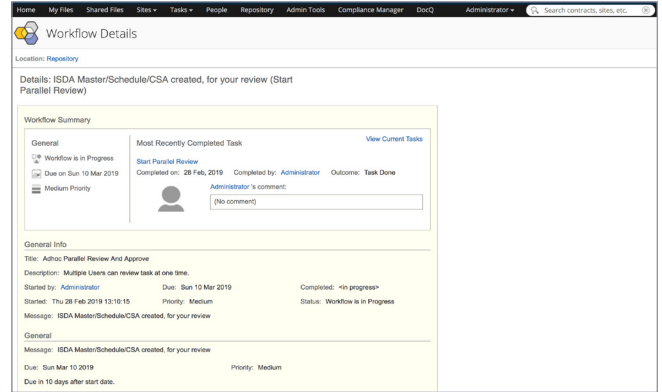
Centralized Document and Content Repository

- One secure and centralized global repository to store, track and manage all agreements and supporting documents across all departments
- Set role-based permissions to ensure security and consistency with an audit trail of all changes
- Personalized and intuitive dashboard to track important agreements, risks and workflow tasks
- Advanced full text or field-specific searching across all agreements



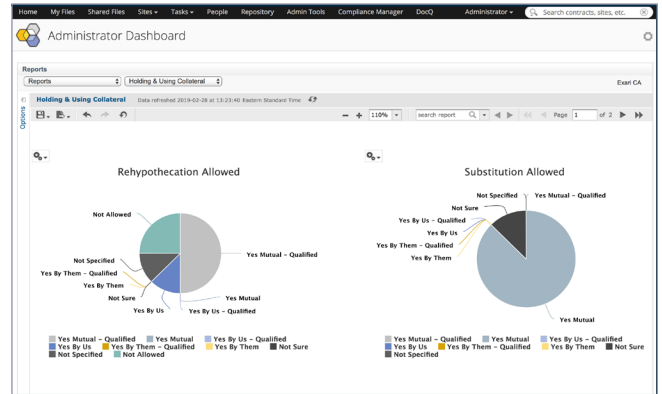
Rule-based and Customizable Workflows

- Streamline the negotiation, approval and execution process with rule-based or ad hoc workflows
- Keep an accurate audit trail of all versions during the process
- Set-up reminders, tasks and alerts so you never miss a key milestone or renewal



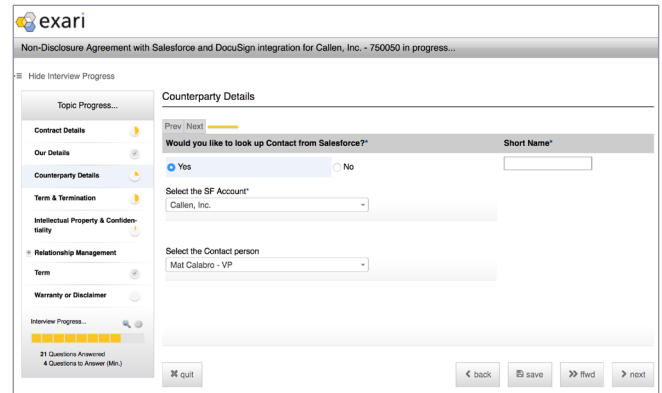
Efficient Reporting and Analytics

- Built-in reports offer 360 degree view of all contracts for complete insight into terms
- 12+ Financial Services specific reports to expose major legal and operational risk across your contract portfolio
- Immediate visibility into payment and performance obligations
- Ensure regulatory compliance and quickly prepare for future requirements



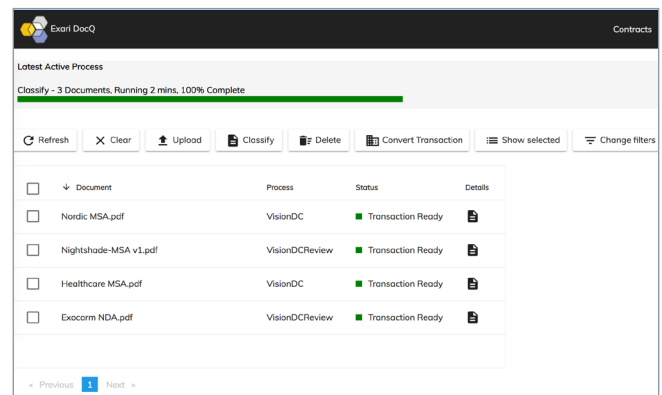
Robust APIs for Integration

- Manage data with open APIs that can be utilized in downstream systems such as collateral management systems
- Easily integrate with legacy systems such a Collateral management, Sharepoint, CRMs, ERPs, or database systems
- Gain control over templates being used by your teams with pre-approved clauses and libraries
- Customizable UI to match your branding and business style



Migration as a Service

- Technology to seamlessly mass-migrate documents and data
- Data quality assurance through QA process
- Get up and running quickly with a dedicated team of migration experts
- Automatically organize existing contracts in hierarchical structure



Exari's Financial Services solution comes in two different offerings to provide your organization with the flexibility needed to meet business requirements:

Capabilities	Exari Contracts Hub™ for Financial Services	Exari Contracts™ for Financial Services
Exari Vision <i>AI-powered data capture</i>	✓	✓
Exari's Universal Contract Model™	✓	✓
Exari DocGen™ <i>Document generation interview</i>		✓
Repository	✓	✓
Workflow		✓
Negotiation		✓
Reporting & Analytics	✓	✓
Integration	✓	✓
Migration as a Service	✓	✓

ABOUT EXARI

Exari delivers the most complete Enterprise Contract Lifecycle Management platform, used every day by market-leading companies to understand all aspects of their contract ecosystem worldwide. With Exari, customers can reduce contract risk and improve operating efficiency with 100% Contract Certainty™.

